

**EQUIRUS SECURITIES PRIVATE LIMITED
 (STOCKBROKER COMPLAINT DATA)**

Data for month ending March 2024

Sr. No.	Received from	Carried forward from previous month	Received during the month	Total pending	Resolved*	Pending at the end of the month**		Average Resolution time^ (in days)
						Pending for less than 3 months	Pending for more than 3 months	
1	Directly from Investors	Nil	0	0	N.A.	N.A.	N.A.	---
2	SEBI (SCORES)	Nil	0	0	N.A.	N.A.	N.A.	---
3	Stock Exchanges	Nil	0	0	N.A.	N.A.	N.A.	---
4	Other sources (if any)	Nil	0	0	N.A.	N.A.	N.A.	---
	Grand Total	Nil	0	0	N.A.	N.A.	N.A.	---

Trend of monthly disposal of complaints

Sr. No.	Month	Carried forward from previous month	Received	Resolved*	Pending**
1	April 2023	Nil	0	N.A.	N.A.
2	May 2023	Nil	0	N.A.	N.A.
3	June 2023	Nil	0	N.A.	N.A.
4	July 2023	Nil	0	N.A.	N.A.
5	August 2023	Nil	0	N.A.	N.A.
6	September 2023	Nil	0	N.A.	N.A.
7	October 2023	Nil	0	N.A.	N.A.
8	November 2023	Nil	0	N.A.	N.A.
9	December 2023	Nil	0	N.A.	N.A.
10	January 2024	Nil	0	N.A.	N.A.
11	February 2024	Nil	0	N.A.	N.A.
12	March 2024	Nil	0	N.A.	N.A.

* Includes complaints of previous months resolved in the current month, if any.

** Includes total complaints pending as on the last day of the month, if any.

^Average resolution time is the sum total of time taken to resolve each complaint in the current month divided by total number of complaints resolved in the current month.

Trend of annual disposal of complaints

Sr. No.	Month	Carried forward from previous year	Received during year	Resolved during year	Pending at the end of the year
1	2017-18	Nil	0	N.A.	N.A.
2	2018-19	Nil	0	N.A.	N.A.
3	2019-20	Nil	0	N.A.	N.A.
4	2020-21	Nil	0	N.A.	N.A.
5	2021-22	Nil	0	N.A.	N.A.
6	2022-23	Nil	0	N.A.	N.A.
7	2023-24	Nil	0	N.A.	N.A.
	Total	Nil	0	N.A.	N.A.